

# LAVER-SHELAH ITERATION

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ABSTRACT. My personal notes from Laver and Shelah [3]: assuming a weakly compact cardinal, it is consistent that all  $\aleph_2$ -Aronszajn trees are special and CH holds.

## CONTENTS

1. Strong properness	2
2. The poset	2
3. Traces	3
4. Preservation of $\kappa$	4
4.1. Preliminary lemmas	4
4.2. Splitting	5
4.3. Proof of $\kappa$ -cc and existence of common residues	6
References	8

The goal is to produce a model of set theory where there are  $\aleph_2$ -Aronszajn trees and all of them are special. An  $\aleph_2$ -tree  $T$  is **special** if there is a function  $f : T \rightarrow \omega_1$  such that

$$f(s) = f(t) \implies s \sqsubset t$$

for all distinct nodes  $s, t \in T$ . We start by assuming GCH and the existence of a weakly compact cardinal  $\kappa$  and force over this model. The poset is an iteration  $(\mathbb{P}_\delta : \delta \leq \kappa^+)$  such that the first poset collapses  $\kappa$  to  $\aleph_2$  and the rest specialize all  $\kappa$ -Aronszajn trees. The existence of  $\aleph_2$ -Aronszajn trees in the final model is guaranteed by preserving CH along the iteration.

Indeed, the first poset  $\mathbb{P}_0$  will be the collapse  $\text{Coll}(\omega_1, < \kappa)$ , which consists of countable partial functions  $p : \omega_1 \times \kappa \rightarrow \kappa$  such that  $p(\alpha, \beta) \in \beta$  for all  $\alpha \in \omega_1$  and  $\beta \in \kappa$ . This poset creates  $\kappa$ -Aronszajn trees, since  $\kappa$  becomes  $\aleph_2$  and CH holds in  $V^{\mathbb{P}_0}$ . Each iterand  $\dot{\mathbb{Q}}_\delta$  will be a  $\mathbb{P}_\delta$ -name for the poset of countable approximations of a specialising function for a tree given by a bookkeeping function. The final poset  $\mathbb{P}_{\kappa^+}$  will be  $\sigma$ -closed and have  $\kappa$ -cc. The  $\sigma$ -closure guarantees preservation of CH and  $\kappa$ -cc guarantees preservation of  $\kappa$ .

Furthermore, each poset  $\mathbb{P}_\delta$  for  $\delta < \kappa^+$  will be  **$\kappa$ -strongly proper**: strongly proper with respect to stationarily many models of size  $< \kappa$ . By  $\kappa$ -strong properness, lots of new subsets of  $\omega_1$  are added, so the final model will satisfy  $2^{\omega_1} = \omega_3$ .

Note that it is *not possible* to specialize a  $\kappa$ -Aronszajn tree  $T$  which is in  $V$  with  $\kappa$ -strongly proper poset. Yet, the posets in this proof are  $\kappa$ -strongly proper. This is not a problem, since there are no  $\kappa$ -Aronszajn trees in  $V$ , since  $\kappa$  is weakly compact. All the trees appear along the iteration. This implies, in particular, that

the quotient posets  $\mathbb{P}_\delta/\mathbb{P}_\gamma$  are not  $\kappa$ -strongly proper for any  $\gamma < \delta < \kappa^+$ , as they indeed do specialize  $\kappa$ -Aronszajn trees.

The fact that there are no  $\kappa$ -Aronszajn trees in  $V$  allows to perform a Mitchell-style splitting argument, which is described in detail in Lemma 4.8. The argument here generalizes to wide  $\aleph_2$ -Aronszajn trees, with a version of Lemma 4.8 that allows to split nodes that are “exit nodes from the side”, i.e. exit nodes from  $V_\alpha$  of height  $< \alpha$ .

## 1. STRONG PROPERNESS

**Definition 1.1.** Let  $\mathbb{P}$  be a poset and let  $M$  be a set.

(1) Let  $p \in \mathbb{P}$ . A condition  $r \in \mathbb{P} \cap M$  is a **residue** for  $p$  into  $M$  if

$$\forall w \in \mathbb{P} \cap M (w \leq r \rightarrow w \parallel p).$$

(2) A condition  $p$  is **strongly  $(\mathbb{P}, M)$ -generic** if every  $q \leq p$  has a residue into  $M$ .  
(3) The poset  $\mathbb{P}$  is **strongly proper with respect to  $M$**  if for every  $p \in \mathbb{P} \cap M$  there is  $q \leq p$  which is strongly  $(\mathbb{P}, M)$ -generic.  
(4) The poset  $\mathbb{P}$  is  **$\kappa$ -strongly proper** if it is strongly proper with respect to stationarily many  $M \in \mathcal{P}_\kappa(H_\theta)$  for any large enough regular  $\theta$ .

A proof of the following lemma can be found in [1]:

**Lemma 1.2.** *A condition  $p$  is strongly  $(\mathbb{P}, M)$ -generic if and only if*

$$p \Vdash \check{G} \cap M \text{ is a } V\text{-generic filter on } \mathbb{P} \cap M.$$

Thus, strong properness is a generalisation of properness.

We define the notion of *common* or *dual residue* because we will do a following kind of *splitting argument*; we are in a situation where we have a poset  $\mathbb{P}$ , a name for a tree  $\dot{T}$ , a suitable model  $M$ , a condition  $p \in \mathbb{P}$  and a node  $t \notin M$ . We will split the node  $t$  in the following sense: we find two distinct nodes  $s^L$  and  $s^R$  in  $M$  and two conditions  $q^L$  and  $q^R$  extending  $p$  such that they have a common residue  $r$  into  $M$  and such that  $q^L \Vdash s^L < t$  and  $q^R \Vdash s^R < t$ .

**Definition 1.3.** Let  $\mathbb{P}$  be a poset and let  $M$  be a suitable model. Let  $p, q \in \mathbb{P}$ . A **common residue** for  $p$  and  $q$  in  $M$  is a condition  $r \in \mathbb{P} \cap M$  which is a residue for  $p$  and residue for  $q$ , i.e.

$$\forall w \in \mathbb{P} \cap M (w \leq r \rightarrow w \parallel p \wedge w \parallel q).$$

**Remark 1.4.** If two conditions  $p$  and  $q$  have a common residue it does not follow that  $p$  and  $q$  are compatible.

## 2. THE POSET

Throughout, let  $\kappa$  be a fixed weakly compact cardinal. We will define a countable support iteration  $(\mathbb{P}_\delta : \delta \leq \kappa^+)$  that will collapse  $\kappa$  onto  $\aleph_2$  and specialize all  $\kappa$ -Aronszajn trees.

**Notation 2.1.** We fix a bookkeeping function

$$\kappa^+ \rightarrow H_{\kappa^+}, \quad \delta \mapsto \dot{T}_\delta$$

such that  $\dot{T}_\delta$  is a  $\mathbb{P}_\delta$ -name for a  $\kappa$ -Aronszajn tree with domain  $\kappa$ , whenever the poset  $\mathbb{P}_\delta$  is defined, for every  $\delta < \kappa^+$ .

For a tree  $T$ , a **partial specializing function** is a partial function  $f$  on  $T$  such that for any two distinct nodes  $s, t \in T$ , if  $f(s) = f(t)$ , then  $s$  and  $t$  are incompatible in the tree order of  $T$ .

**Definition 2.2.** For each  $\delta \leq \kappa^+$ , conditions of the poset  $\mathbb{P}_\delta$  are functions

$$p : \delta \rightarrow V_\kappa$$

such that

- (1)  $p(0) \in \text{Coll}(\omega_1, < \kappa)$ ,
- (2)  $p(\gamma)$  is a countable partial function  $f_\gamma^p : \kappa \rightarrow \omega_1$  such that

$$p \upharpoonright \gamma \Vdash "f_\gamma^p \text{ is a partial specializing function of } \dot{T}_\gamma",$$

for every  $\gamma > 0$ ,

- (3)  $p \upharpoonright \gamma$  decides the tree order of  $\dot{T}_\gamma$  on  $\text{dom}(f_\gamma^p)$ ,
- (4) the support  $\text{sp}(p) := \{\gamma < \delta : p(\gamma) \neq \emptyset\}$  is countable.

The order is defined by pointwise inverse inclusion:

$$q \leq p \iff \forall \gamma < \delta \ q(\gamma) \supseteq p(\gamma).$$

**Remark 2.3.** For  $\gamma < \delta$ , there is a canonical complete embedding  $\mathbb{P}_\gamma \hookrightarrow \mathbb{P}_\delta$  that takes a condition  $p$  in  $\mathbb{P}_\gamma$  to  $p^\frown (\emptyset, \emptyset, \dots)$  and each poset  $\mathbb{P}_\delta$  is a dense subset of the iteration with iterands  $(\dot{\mathbb{Q}}_\gamma : \gamma < \delta)$ , where  $\mathbb{Q}_0 = \text{Coll}(\omega_1, < \kappa)$  and each  $\dot{\mathbb{Q}}_\gamma$  is a name for the poset of countable approximations of specialising functions for  $\dot{T}_\gamma$ .

### 3. TRACES

**Notation 3.1.** Let  $\theta > \kappa^+$  be a regular cardinal and let  $<_\theta$  be a well-ordering of  $H_\theta$ . For each  $\delta < \kappa^+$  and  $\alpha \in \kappa$ , let

$$M_\alpha^\delta := \text{Skolem hull of } \alpha \text{ in } (H_\theta, \in, <_\theta, \kappa, \delta).$$

**Remark 3.2.** We have  $\omega_1 \cap M_\alpha^\delta = \alpha$  for club many  $\alpha < \omega_1$ .

**Remark 3.3.** We may assume without loss of generality that each  $M_\alpha^\delta$  contains the bookkeeping function  $\gamma \rightarrow \dot{T}_\gamma$  as element.

**Remark 3.4.**  $\mathbb{P}_\delta \in M_\alpha^\delta$ .

**Definition 3.5.** Let  $\delta < \kappa^+$ . For each  $p \in \mathbb{P}_\delta$  and  $\alpha \in \kappa$ , the **trace**  $p|_\alpha$  of  $p$  into  $M_\alpha^\delta$  is a function on  $\delta$  defined by

$$p|_\alpha(\gamma) := \begin{cases} p(\gamma) \upharpoonright M_\alpha^\delta & \text{if } \gamma \in \delta \cap M_\alpha^\delta, \\ \emptyset & \text{otherwise.} \end{cases}$$

**Remark 3.6.**  $p|_\alpha(0) \in \text{Coll}(\omega_1, < \alpha)$  whenever  $\omega_1 \cap M_\alpha^\delta = \alpha$ .

**Remark 3.7.** In general,  $p|_\alpha$  might not be a condition, but it will for stationarily many  $\alpha \in \kappa$ . The goal is to show that for stationarily many  $\alpha$ , for every  $p \in \mathbb{P}_\delta$ , the trace  $p|_\alpha$  is a *residue* for  $p$ , in the sense defined below in 1.1.

#### 4. PRESERVATION OF $\kappa$

We show by induction on  $\delta < \kappa^+$  that  $\mathbb{P}_\delta$  has  $\kappa$ -cc and is strongly proper with respect to  $M_\alpha^\delta$  for  $\mathcal{F}_{\text{wc}}$ -many  $\alpha \in \kappa$ . Here  $\mathcal{F}_{\text{wc}}$  is the **weakly compact filter** generated by sets

$$\{\alpha \in \kappa : V_\kappa \models \varphi(A) \Rightarrow V_\alpha \models \varphi(A \cap V_\alpha)\},$$

for a  $\Pi_1^1$ -formula  $\varphi(X)$  and a set  $A \subseteq V_\kappa$ . The filter  $\mathcal{F}_{\text{wc}}$  is a normal filter on  $\kappa$  that extends the club filter. See Proposition 6.11. from Kanamori [2]. An important fact is that the statement “ $T$  is a  $\kappa$ -Aronszajn tree” is  $\Pi_1^1$  for any  $T \subseteq V_\kappa$ , and furthermore, so is the statement

$$\text{“}\Vdash_{\mathbb{P}} \dot{T} \text{ is a } \kappa\text{-Aronszajn tree”},$$

whenever  $\mathbb{P}, T \subseteq V_\kappa$ .

The goal is to show the following proposition:

**Proposition 4.1.** *Let  $\delta < \kappa^+$ .*

- (1)  $\mathbb{P}_\delta$  has  $\kappa$ -cc.
- (2) *It holds for  $\mathcal{F}_{\text{wc}}$ -many  $\alpha < \kappa$  that if  $p, q \in \mathbb{P}_\delta$  have the same trace to  $M_\alpha^\delta$ , then they have a common residue into  $M_\alpha^\delta$ .*

The rest of the section is devoted to the prove Proposition 4.1. The proof is by induction on  $\delta$ . **From now onwards until the end of the paper, we fix one  $\delta < \kappa^+$  and assume that the proposition holds for all  $\gamma < \delta$ .** We begin by proving a series of lemmas.

##### 4.1. Preliminary lemmas.

**Remark 4.2.** The poset  $\mathbb{P}_\delta$  has size  $\kappa$ . We tacitly assume that each  $\mathbb{P}_\delta$  is coded as a subset of  $V_\kappa$ . Up to choosing the  $<_\theta$ -least function, we may assume that each model  $M_\alpha^\delta$  knows about this coding. This will be important when using the  $\Pi_1^1$ -reflection of  $\kappa$ .

We say that a subposet  $\mathbb{Q} \subseteq \mathbb{P}_\gamma$  **determines** a subset  $S \subseteq T_\gamma$  if for all nodes  $s, t \in S$  the set of conditions deciding the tree-order of  $\dot{T}_\gamma$  between  $s$  and  $t$  is dense in  $\mathbb{Q}$ .

**Lemma 4.3.** *Assume that  $\mathbb{P}_\gamma$  has  $\kappa$ -cc for all  $\gamma < \delta$ . Then, it holds for club many  $\alpha \in \kappa$  that for every  $\gamma \in \delta \cap M_\alpha^\delta$ , the poset  $\mathbb{P}_\gamma \cap V_\alpha$  determines the set  $\text{Lev}_{<\alpha}(\dot{T}_\gamma)$ .*

*Proof.* Follows from strong inaccessibility of  $\kappa$  and  $\kappa$ -cc of each  $\mathbb{P}_\gamma$ .  $\square$

**Lemma 4.4.** *For  $\mathcal{F}_{\text{wc}}$  many  $\alpha \in \kappa$ , it holds that for every  $p \in \mathbb{P}_\delta$ , the trace  $p|_\alpha$  is a condition in  $\mathbb{P}_\delta \cap M_\alpha^\delta$ .*

*Proof.* Follows from the induction hypothesis and the lemma above.  $\square$

**Lemma 4.5.** *If  $p, q \in \mathbb{P}_\delta$  have a common residue  $r$  into  $M_\alpha^\delta$ , then there are  $\hat{p} \leq p$  and  $\hat{q} \leq q$  with a common trace into  $M_\alpha^\delta$  extending  $r$ .*

**Lemma 4.6.** *The set*

$$\{\alpha \in \kappa : \begin{aligned} (1) \quad & V_\kappa \cap M_\alpha^\delta = V_\alpha, \\ (2) \quad & \alpha \text{ is an inaccessible cardinal,} \\ (3) \quad & \forall \gamma \in \delta \cap M_\alpha^\delta \quad \mathbb{P}_\gamma \cap M_\alpha^\gamma \text{ has } \alpha\text{-cc,} \\ (4) \quad & \forall \gamma \in \delta \cap M_\alpha^\delta \quad \mathbb{P}_\gamma \cap M_\alpha^\gamma \subseteq_c \mathbb{P}_\gamma, \\ (5) \quad & \forall \gamma \in \delta \cap M_\alpha^\delta \quad \Vdash_{\mathbb{P}_\gamma \cap M_\alpha^\gamma} \text{``}\alpha = \aleph_2\text{''}, \\ (6) \quad & \forall \gamma \in \delta \cap M_\alpha^\delta \quad \Vdash_{\mathbb{P}_\gamma \cap M_\alpha^\gamma} \text{``}\dot{T}_\gamma \cap V_\alpha \text{ is an Aronszajn tree on } \alpha\text{''}, \\ (7) \quad & \forall \gamma \in \delta \cap M_\alpha^\delta \quad \forall p \in \mathbb{P}_\gamma \quad p|_\alpha \in \mathbb{P}_\gamma \cap M_\alpha^\gamma \end{aligned}\}$$

is in  $\mathcal{F}_{wc}$ .

*Proof.* Points (1), (2) follow directly from the fact that  $\kappa$  is weakly compact, in particular regular and Mahlo. Point (3) follows by  $\Pi_1^1$ -reflection from the hypothesis that  $\mathbb{P}_\gamma$  has  $\kappa$ -cc. Point (4) follows from (3) and normality of  $\mathcal{F}_{wc}$  by a pressing down argument. Points (5), (6) follow by  $\Pi_1^1$ -reflection. Point (7) is previous lemma.  $\square$

#### 4.2. Splitting.

**Definition 4.7.** Let  $p, q \in \mathbb{P}_\delta$ ,  $\gamma < \delta$  and let  $s, t \in T_\gamma$ . We say that the conditions  **$p$  and  $q$  split the pair  $(s, t)$  below  $\alpha$**  if there are two distinct nodes  $\hat{s}, \hat{t} \in T_\gamma \cap \alpha$  of the same height such that

$$\begin{aligned} p \upharpoonright \gamma & \Vdash \hat{s} < s, \\ q \upharpoonright \gamma & \Vdash \hat{t} < t. \end{aligned}$$

The case  $s = t$  is not excluded; we say that  $p$  and  $q$  **split the node  $s$  below  $\alpha$**  if  $p$  and  $q$  split the pair  $(s, s)$  below  $\alpha$ .

The use of the weakly compact cardinal is substantial in the following lemma.

**Lemma 4.8** (Splitting). *The following holds for  $\mathcal{F}_{wc}$ -many  $\alpha < \kappa$ : for any two conditions  $p, q \in \mathbb{P}_\delta$  with same trace to  $M_\alpha^\delta$  there are two conditions  $\hat{p} \leq p$  and  $\hat{q} \leq q$  that have the same trace to  $M_\alpha^\delta$  and that split below  $\alpha$  every pair of nodes  $(s, t) \in (\text{dom}(f_\gamma^{\hat{p}}) \times \text{dom}(f_\gamma^{\hat{q}})) - \alpha$  where  $\gamma \in \delta \cap M_\alpha^\delta$ .*

*Proof.* We begin with a claim that we will then iterate to finish the proof of the lemma.

**Claim 4.9.** *The following holds for  $\mathcal{F}_{wc}$ -many  $\alpha < \kappa$ : for any  $\gamma \in \delta \cap M_\alpha^\delta$ , any two conditions  $p, q \in \mathbb{P}_\gamma$  that have a common residue  $r$  into  $M_\alpha^\gamma$ , and any nodes  $s, t \in T_\gamma - \alpha$  there are two conditions  $\hat{p} \leq p$  and  $\hat{q} \leq q$  that have a common residue  $\hat{r} \leq r$  into  $M_\alpha^\gamma$  and that split the pair  $(s, t)$  below  $\alpha$ .*

*Proof of Claim 4.9.* We show that the claim holds for every  $\alpha < \kappa$  from the set in Lemma 4.6. Let  $\gamma \in \delta \cap M_\alpha^\delta$  and suppose that  $p, q \in \mathbb{P}_\gamma$  are conditions with same trace to  $M_\alpha^\gamma$ . Let  $s, t \in T_\gamma - \alpha$ . The reflection properties of the weakly compact are essential in the following step. Let  $G \subseteq \mathbb{P}_\gamma \cap M_\alpha^\gamma$  be a generic filter containing the common residue of  $p$  and  $q$ . It follows that  $p$  and  $q$  are in the quotient forcing  $\mathbb{P}_\gamma/G$ . By the choice of  $\alpha$ , it holds (among other things) that

$$(*) \quad \Vdash_{\mathbb{P}_\gamma \cap M_\alpha^\gamma} \text{``}\dot{T}_\gamma \cap \alpha \text{ is an } \alpha\text{-Aronszajn tree''}.$$

Thus  $(\dot{T}_\gamma)_G$  is an  $\alpha$ -Aronszajn tree, and thus the branch below the node  $s$  must be introduced by the quotient forcing  $\mathbb{P}_\gamma/G$ , for otherwise it would be a cofinal branch in  $(\dot{T}_\gamma \cap \alpha)_G$ . Thus, there must be two conditions  $p^L, p^R \leq p$  in  $\mathbb{P}_\gamma/G$  that split  $s$  at some level  $\beta < \alpha$  with some distinct nodes  $s^L$  and  $s^R$ . Since  $p^L$  and  $p^R$  are in the quotient forcing  $\mathbb{P}_\gamma/G$ , there is some  $r_0 \in G$  that forces  $p, q \in \mathbb{P}_\gamma/\check{G}$ . This  $r_0$  is a common residue for  $p$  and  $q$ . Then we find an extension  $\hat{q} \leq q$ , also in the quotient  $\mathbb{P}_\delta/G$ , such that  $\hat{q}$  decides the node below  $t$  at level  $\beta$ , call it  $\bar{t}$ . There is  $r \in G$  that extends  $r_0$  and forces  $\hat{q} \in \mathbb{P}_\delta/\check{G}$ . Then  $r$  is a common residue for  $p^L, p^R$  and  $\hat{q}$ . If  $\bar{t} \neq s^L$ , then  $p^L$  and  $\hat{q}$  are as wanted, and if  $\bar{t} \neq s^R$ , then  $p^R$  and  $\hat{q}$  are as wanted. This ends the proof of the claim.  $\square$

We now complete the proof of Lemma 4.8 by iterating Claim 4.9 countably many times. Using a suitable enumeration, we find conditions  $(p_n)_{n < \omega}$  and  $(q_n)_{n < \omega}$  such that conditions  $p_n$  and  $q_n$  have a common residue  $r_n$  into  $M_\alpha^\delta$  and split a pair of nodes  $(s_n, t_n)$  from  $T_{\gamma_n} - \alpha$ , where  $\gamma_n \in \delta \cap M_\alpha^\delta$ ,  $s_n \in \text{dom}(f_{\gamma_n}^{p_n})$  and  $t_n \in \text{dom}(f_{\gamma_n}^{q_n})$ .

This is accomplished by first fixing a bijection  $\langle \cdot \rangle : \omega \times \omega \times \omega \rightarrow \omega$  that is suitable in the sense that whenever  $n = \langle m, k, l \rangle$ , then  $m \leq n$ . We begin by letting  $p_0 := p$  and  $q_0 := q$ . At step  $n+1$ , we assume that  $p_n$  and  $q_n$  have been defined and have the same trace to  $M_\alpha^\delta$ . We first enumerate the union of the supports  $(\text{sp}(p_n) \cup \text{sp}(q_n)) \cap M_\alpha^\delta$  as  $(\gamma_k^n : k < \omega)$ , and the set of all nodes in  $(\text{dom}(f_{\gamma_k^n}^{p_n}) - \alpha) \times (\text{dom}(f_{\gamma_k^n}^{q_n}) - \alpha)$ , for each  $k < \omega$ , as  $((s_{(n,k,l)}, t_{(n,k,l)} : l < \omega)$ . Then we pick the unique  $m, k, l$  such that  $n = \langle m, k, l \rangle$  and look at the conditions  $p_n \upharpoonright \gamma_m^k$  and  $q_n \upharpoonright \gamma_m^k$ . We apply the induction hypothesis of Proposition 4.1(2): since  $p_n \upharpoonright \gamma_m^k$  and  $q_n \upharpoonright \gamma_m^k$  have the same trace to  $M_\alpha^{\gamma_m^k}$ , there is  $r \in \mathbb{P}_{\gamma_m^k}^k \cap M_\alpha^{\gamma_m^k}$  that is a common residue for them. Then we apply Claim 4.9 to the nodes  $s_{(m,k,l)}$  and  $t_{(m,k,l)}$ : we find two conditions  $p' \leq p_n \upharpoonright \gamma_m^k$  and  $q' \leq q_n \upharpoonright \gamma_m^k$  in  $\mathbb{P}_{\gamma_m^k}^k$  that split  $s_{(m,k,l)}$  and  $t_{(m,k,l)}$  and have a common residue  $r' \leq r$  into  $M_\alpha^{\gamma_m^k}$ . By Lemma 4.5 we may extend further to assume that  $p'$  and  $q'$  have the same trace into  $M_\alpha^{\gamma_m^k}$ , and the same trace extends  $r'$ . We define  $p_{n+1}$  and  $q_{n+1}$  by taking the pointwise union:

$$\begin{aligned} p_{n+1} &:= p' \text{ }^\frown p_n \upharpoonright [\gamma_m^k, \delta), \\ q_{n+1} &:= q' \text{ }^\frown q_n \upharpoonright [\gamma_m^k, \delta). \end{aligned}$$

Then  $p_{n+1}$  and  $q_{n+1}$  have the same trace to  $M_\alpha^\delta$  and split the nodes  $s_{(m,k,l)}$  and  $t_{(m,k,l)}$ .

Finally, we let  $\hat{p} := \bigcup_n p_n$  and  $\hat{q} := \bigcup_n q_n$ . They are as wanted.  $\square$

### 4.3. Proof of $\kappa$ -cc and existence of common residues.

We finally are ready to prove Proposition 4.1.

*Proof of Proposition 4.1.* The proof is by induction on  $\delta$ . We assume that the proposition holds for  $\gamma \in \delta$  and show that it holds for  $\delta$ .

- (1) We show that  $\mathbb{P}_\delta$  has  $\kappa$ -cc. Let  $\{p_\alpha : \alpha \in \kappa\} \subseteq \mathbb{P}_\delta$ . We find distinct  $\alpha$  and  $\beta$  such that  $p_\alpha$  and  $p_\beta$  are compatible.

The general idea is as follows. By applying Splitting Lemma 4.8, for  $\mathcal{F}_{\text{wc}}$  many  $\alpha$ , find conditions  $p_\alpha^L, p_\alpha^R \leq p_\alpha$  which split relevant pairs of nodes and satisfy  $p_\alpha^L|_\alpha = p_\alpha^R|_\alpha$ . Then, by pressing down with Fodor's lemma, it is possible to show that for  $\mathcal{F}_{\text{wc}}$  many  $\alpha < \beta$ , the left extension  $p_\alpha^L$  is compatible with the right extension  $p_\beta^R$ . This allows to finish.

Now in more detail. Look at the set  $\mathcal{S}_\delta \in \mathcal{F}_{\text{wc}}$  from Lemma 4.8. For every  $\alpha \in \mathcal{S}_\delta$ , find

$$p_\alpha^L, p_\alpha^R \leq p_\alpha$$

such that  $p_\alpha^L|_\alpha = p_\alpha^R|_\alpha$  and for all  $\gamma \in \delta \cap M_\alpha^\delta$ , the pair  $(p_\alpha^L, p_\alpha^R)$  splits each pair of nodes  $(s, t)$  in  $(\text{dom}(f_\gamma^{p_\alpha^L}) \times \text{dom}(f_\gamma^{p_\alpha^R})) - V_\alpha$  with some pair of nodes  $(\bar{s}, \bar{t})$  from  $V_\alpha$ . By pigeonhole principle there is an  $\mathcal{F}_{\text{wc}}$ -positive set  $U \subseteq \mathcal{S}_\delta$  such that for all  $\alpha, \beta \in U$  there are isomorphisms

$$\begin{aligned} p_\alpha^L &\cong p_\beta^L, \\ p_\alpha^R &\cong p_\beta^R, \end{aligned}$$

which fix the traces

$$p_\alpha^L|_\alpha = p_\beta^L|_\beta = p_\beta^R|_\beta = p_\alpha^R|_\alpha.$$

Up to further refining  $U$ , we may assume that for all  $\alpha < \beta$  from  $U$  and  $\gamma \in \delta$ ,

$$\begin{aligned} &\sup\{ht(t) : t \in (\text{dom}(f_\gamma^{p_\alpha^L}) \cup \text{dom}(f_\gamma^{p_\alpha^R})) - V_\alpha\} \\ &< \min\{ht(t) : t \in (\text{dom}(f_\gamma^{p_\beta^L}) \cup \text{dom}(f_\gamma^{p_\beta^R})) - V_\beta\}, \end{aligned}$$

and that

$$(\text{sp}(p_\alpha^L) - \alpha) \cap (\text{sp}(p_\beta^R) - \beta) = \emptyset.$$

Choose  $\alpha < \beta$  from  $U$ . We claim that  $p_\alpha^L \parallel p_\beta^R$ . Define  $\hat{p}$  to be the pointwise union, by letting

$$\begin{aligned} \hat{p}(0) &:= p_\alpha^L(0) \cup p_\beta^R(0), \\ \hat{p}(\gamma) &:= f_\gamma^{p_\alpha^L} \cup f_\gamma^{p_\beta^R}. \end{aligned}$$

We claim that  $\hat{p}$  extends to a condition. We need to make sure that  $\hat{p} \upharpoonright \gamma$  decides the tree-order of  $\dot{T}_\gamma$  relativised to  $\text{dom}(f_\gamma^{\hat{p}})$  and that show that  $f_\gamma^{\hat{p}}$  is forced to be a specializing function. If to the contrary this is not the case, then there are two distinct nodes  $s, t \in \text{dom}(f_\gamma^{\hat{p}})$  such that

$$f_\gamma^{\hat{p}}(s) = f_\gamma^{\hat{p}}(t)$$

and such that  $\hat{p} \upharpoonright \gamma \Vdash s \leq t$ . If  $s, t \in \text{dom}(f_\gamma^{p_\alpha^L})$  or  $s, t \in \text{dom}(f_\gamma^{p_\beta^R})$ , we are done. Assume thus that  $s \in \text{dom}(f_\gamma^{p_\alpha^L})$  and  $t \in \text{dom}(f_\gamma^{p_\beta^R})$ . Now, by construction,

$$\begin{aligned} p_\alpha^L \upharpoonright \gamma &\Vdash \bar{s} < s, \\ p_\beta^R \upharpoonright \gamma &\Vdash \bar{t} < t. \end{aligned}$$

This is because the pair  $(p_\alpha^L \upharpoonright \gamma, p_\alpha^R \upharpoonright \gamma)$  splits some pair  $(s, t')$  with  $(\bar{s}, \bar{t}')$  and the pair  $(p_\beta^L \upharpoonright \gamma, p_\beta^R \upharpoonright \gamma)$  splits a pair  $(s', t)$  with  $(\bar{s}', \bar{t})$ . This implies

$$\hat{p} \Vdash s \perp t.$$

(2) We show that for  $\mathcal{F}_{\text{wc}}$  many  $\alpha \in \kappa$ , whenever  $p$  and  $q$  are two conditions in  $\mathbb{P}_\delta$  with the same trace  $p|_\alpha = q|_\alpha$  to  $M_\alpha^\delta$ , then they have a common residue to  $M_\alpha^\delta$ .

- Suppose to the contrary that there is an  $\mathcal{F}_{\text{wc}}$ -positive set  $S$  such that for every  $\alpha \in S$ , there are two conditions  $p_\alpha$  and  $q_\alpha$  with the same trace  $p_\alpha|_\alpha = q_\alpha|_\alpha$  and such that no condition in  $\mathbb{P}_\delta \cap M_\alpha^\delta$  is a common residue for them. We may choose the set  $S$  such that every  $\alpha \in S$  satisfies all properties listed in Lemmas 4.3, 4.4 and 4.6. Furthermore, we may assume by the splitting lemma 4.8 that for every  $\alpha \in S$ , there are  $\hat{p}_\alpha \leq p_\alpha$  and  $\hat{q}_\alpha \leq q_\alpha$  with same traces  $\hat{p}_\alpha|_\alpha = \hat{q}_\alpha|_\alpha$  and such that all relevant pairs of nodes are split.
- By stratifying by levels we may arrange that for  $\alpha < \beta$  in  $S'$ , the conditions  $\hat{p}_\alpha$  and  $\hat{q}_\beta$  are compatible, as in the proof of  $\kappa$ -cc.
- For every  $\alpha \in S$  there is a maximal antichain

$$W_\alpha \subseteq \{r \in \mathbb{P}_\delta \cap M_\alpha^\delta : r \leq \hat{p}_\alpha|_\alpha = \hat{q}_\alpha|_\alpha \text{ and } (r \perp \hat{p}_\alpha \text{ or } r \perp \hat{q}_\alpha)\}.$$

- Since we already proved  $\kappa$ -cc of  $\mathbb{P}_\delta$ , we may assume that  $\mathbb{P}_\delta \cap M_\alpha^\delta \subseteq_c \mathbb{P}_\delta/\hat{p}_\alpha$  for every  $\alpha \in S$ . This implies that  $W_\alpha$  is a maximal antichain in  $\mathbb{P}_\delta/\hat{p}_\alpha|_\alpha$ .
- Each antichain  $W_\alpha$  has size  $< \kappa$ . There is a subset  $S' \subseteq S$  such that  $W_\alpha = W_\beta$  for  $\alpha, \beta \in S'$ , and such that for  $r \in W$ ,  $\hat{p}_\alpha \perp r$  iff  $\hat{p}_\beta \perp r$  and  $\hat{q}_\alpha \perp r$  iff  $\hat{q}_\beta \perp r$ . This follows by Fodor's lemma applied to the fact that wlog we may fix an enumeration of all antichains  $\mathbb{P}_\delta$  of length  $\kappa$  and since there are stationarily many inaccesibles below  $\kappa$ , there are many  $\alpha < \kappa$  such that the enumeration givies an enumeration of antichains of  $\mathbb{P}_\delta \cap M_\alpha^\delta$  of length  $\alpha$ ; then the function mapping  $\alpha$  to the index of  $W_\alpha$  is regressive.
- Choose some  $v \leq \hat{p}_\alpha, \hat{q}_\beta$ . Then  $v \leq \hat{p}_\alpha|_\alpha$  and without loss of generality  $v$  does not belong to  $\mathbb{P}_\delta \cap M_\alpha^\delta$ . Thus  $v \notin W_\alpha = W$  either.
- We claim that  $W \cup \{v\}$  is an antichain. This will contradict the maximality of  $W$ . Indeed, if  $w \in W$ , then either  $w \perp \hat{p}_\alpha$  or  $w \perp \hat{q}_\beta$ . The first case implies that  $w \perp v$  and the second case implies that  $w \perp v$ . Thus  $W \cup \{v\}$  is indeed an antichain. This is a contradiction.

□

**Corollary 4.10.** *Each poset  $\mathbb{P}_\delta$  is  $\kappa$ -strongly proper and have  $\kappa$ -cc, for  $\delta < \kappa^+$ . The poset  $\mathbb{P}_{\kappa^+}$  has  $\kappa$ -cc.*

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